

COPY

Docket No. 54481USA2A

#4

DEC 26 2002
PAT & TRADEMARK OFF

DECLARATION, POWER OF ATTORNEY, AND PETITION

I, a below named inventor, depose and say that: (1) my residence, citizenship, and mailing address are indicated below; (2) I have reviewed and understand the contents of attached specification, including the claims, as amended by any amendment specifically referred to herein, (3) I believe that the other below named inventors and I are the original, first, and joint inventors or discoverers of the invention or discovery in

ACCELERATED METHOD FOR INCREASING THE PHOTOSENSITIVITY OF A GLASSY MATERIAL

described and claimed therein and for which a patent is sought; and (4) I hereby acknowledge my duty to disclose to the Patent and Trademark Office all information known to me to be material to the patentability as defined in Title 37, Code of Federal Regulations, §1.56.*

I hereby appoint Gregory D. Allen (Reg. No. 35,048), Allen Ball (Reg. No. 42,286), Scott A. Bardell (Reg. No. 39,594), Carolyn A. Bates (Reg. No. 27,853), Stephen W. Bauer (Reg. No. 32,192), Dale A. Bjorkman (Reg. No. 33,084), Jennie G. Boeder (Reg. No. 28,952), William J. Bond (Reg. No. 32,400), Stephen W. Buckingham (Reg. No. 30,035), John A. Burtis (Reg. No. 39,924), Paul W. Busse (Reg. No. 32,403), Gerald F. Chernivec (Reg. No. 26,537), James D. Christoff (Reg. No. 31,492), Philip Y. Dahl (Reg. No. 36,115), Charles L. Dennis II (Reg. No. 30,555), Janice L. Dowdall (Reg. No. 31,201), Lisa M. Fagan (Reg. No. 40,601), Yen T. Florczak (Reg. No. 45,163), Darla P. Fonseca (Reg. No. 31,783), John A. Fortkort (Reg. No. 38,454), Melanie G. Gover (Reg. No. 41,793), Gary L. Griswold (Reg. No. 25,396), Doreen S. L. Gwin (Reg. No. 35,580), Michael A. Hakamaki (Reg. No. 40,011), Karl G. Hanson (Reg. No. 32,900), Melissa M. Hayworth (Reg. No. P-45,774), Néstor F. Ho (Reg. No. 39,460), Rudolph P. Hofmann, Jr. (Reg. No. 38,187), Jeffrey J. Hohenshell (Reg. No. 34,109), Robert W. Hoke (Reg. No. 29,226), MarySusan Howard (Reg. No. 38,729), Stephen C. Jensen (Reg. No. 35,207), Robert H. Jordan (Reg. No. 31,973), Harold C. Knecht III (Reg. No. 35,576), Kent S. Kokko (Reg. No. 33,931), Charles D. Levine (Reg. No. 32,477), Douglas B. Little (Reg. No. 28,439), Eloise J. Maki (Reg. No. 33,418), Lisa M. McGeehan (Reg. No. 41,185), Matthew B. McNutt (Reg. No. 39,766), Michelle M. Michel (Reg. No. 33,968), William D. Miller (Reg. No. 37,988), Peter L. Olson (Reg. No. 35,308), Daniel R. Pastirik (Reg. No. 33,025), David B. Patchett (Reg. No. 39,326), Robert J. Pechman (Reg. No. 45,002), Carolyn V. Peters (Reg. No. 33,271), Scott R. Pribnow (Reg. No. 43,869), Ted K. Ringsred (Reg. No. 35,658), James A. Rogers (Reg. No. 37,228), Steven E. Skolnick (Reg. No. 33,789), Robert W. Sprague (Reg. No. 30,497), Brian E. Szymanski (Reg. No. 39,523), James J. Trussell (Reg. No. 37,251), and Lucy C. Weiss (Reg. No. 32,834), my attorneys and/or agents with full powers (including the powers of appointment, substitution, and revocation) to prosecute this application and any division, continuation, continuation-in-part, reexamination, or reissue thereof, and to transact all business in the Patent and Trademark Office connected therewith: the mailing address and the telephone number of the above-mentioned attorneys and/or agents are

Attention: Néstor F. Ho
Office of Intellectual Property Counsel
3M Innovative Properties Company
P.O. Box 33427
St. Paul, Minnesota 55133-3427
Telephone No. 512-984-7443

The undersigned petitioner declares further that all statements made herein of his own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Wherefore, I pray for grant of Letters Patent for the invention or discovery described and claimed in the attached specification and we hereby subscribe our names to the foregoing specification and claims, declaration, power of attorney, and this petition, on the day set forth below.

James F. Brennan, III 7/12/00
 Date
 Residence: Austin, Travis County, Texas
 Citizenship: United States
 Post Office P.O. Box 33427
 Address: St. Paul, Minnesota 55133-3427

Diann A. Sloan 7/11/00
 Date
 Residence: Austin, Williamson County, Texas
 Citizenship: United States
 Post Office P.O. Box 33427
 Address: St. Paul, Minnesota 55133-3427

Maureen T. Fahey 12 Jul 00
 Date
 Residence: Austin, Travis County, Texas
 Citizenship: United States
 Post Office P.O. Box 33427
 Address: St. Paul, Minnesota 55133-3427

James C. Novack Date
 Residence: Hudson, St. Croix County, Wisconsin
 Citizenship: United States
 Post Office P.O. Box 33427
 Address: St. Paul, Minnesota 55133-3427

§1.56 Duty to disclose information material to patentability.

(a) A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclose information exists with respect to each pending claim until the claim is cancelled or withdrawn from consideration, or the application becomes abandoned. Information material to the patentability of a claim that is cancelled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which is not material to the patentability of any existing claim. The duty to disclose all information known to be material to patentability is deemed to be satisfied if all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by §§ 1.97(b)-(d) and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office was practiced or attempted or the duty of disclosure was violated through bad faith or intentional misconduct. The Office encourages applicants to carefully examine:

- (1) prior art cited in search reports of a foreign patent office in a counterpart application, and
- (2) the closest information over which individuals associated with the filing or prosecution of a patent application believe any pending claim patentably defines, to make sure that any material information contained therein is disclosed to the Office.

(b) Under this section, information is material to patentability when it is not cumulative to information already of record or being made of record in the application, and

- (1) It establishes, by itself or in combination with other information, a prima facie case of unpatentability of a claim; or
- (2) It refutes, or is inconsistent with, a position the applicant takes in:
 - (i) Opposing an argument of unpatentability relied on by the Office, or
 - (ii) Asserting an argument of patentability.

A prima facie case of unpatentability is established when the information compels a conclusion that a claim is unpatentable under the preponderance of evidence, burden-of-proof standard, giving each term in the claim its broadest reasonable construction consistent with the specification, and before any consideration is given to evidence which may be submitted in an attempt to establish a contrary conclusion of patentability.

(c) Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:

- (1) Each inventor named in the application;
- (2) Each attorney or agent who prepares or prosecutes the application; and
- (3) Every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application.

(d) Individuals other than the attorney, agent or inventor may comply with this section by disclosing information to the attorney, agent, or inventor.

**DECLARATION, POWER OF ATTORNEY, AND PETITION**

I, a below named inventor, depose and say that: (1) my residence, citizenship, and mailing address are indicated below; (2) I have reviewed and understand the contents of attached specification, including the claims, as amended by any amendment specifically referred to herein, (3) I believe that the other below named inventors and I are the original, first, and joint inventors or discoverers of the invention or discovery in

ACCELERATED METHOD FOR INCREASING THE PHOTSENSITIVITY OF A GLASSY MATERIAL

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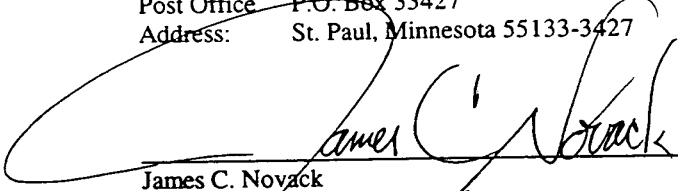
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Attention: Néstor F. Ho
Office of Intellectual Property Counsel
3M Innovative Properties Company
P.O. Box 33427
St. Paul, Minnesota 55133-3427
Telephone No. 512-984-7443

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	Date
Diann A. Sloan	
Residence: Austin, Williamson County, Texas	
Citizenship: United States	
Post Office: P.O. Box 33427	
Address: St. Paul, Minnesota 55133-3427	

	Date
Maureen T. Fahey	
Residence: Austin, Travis County, Texas	
Citizenship: United States	
Post Office: P.O. Box 33427	
Address: St. Paul, Minnesota 55133-3427	



	Date
James C. Novack	12 JUL 00
Residence: Hudson, St. Croix County, Wisconsin	
Citizenship: United States	
Post Office: P.O. Box 33427	
Address: St. Paul, Minnesota 55133-3427	

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- (1) Each inventor named in the application;
- (2) Each attorney or agent who prepares or prosecutes the application; and
- (3) Every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application.

(d) Individuals other than the attorney, agent or inventor may comply with this section by disclosing information to the attorney, agent, or inventor.



54481USA2A/002

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

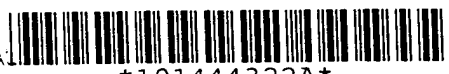
#4

OCTOBER 14, 2000

PTAS

OFFICE OF INTELLECTUAL PROPERTY COUNSEL
NESTOR F. HO
3M INNOVATIVE PROPERTIES COMPANY
P.O. BOX 33427
ST. PAUL, MINNESOTA 55133-3427

OFFICE OF INTELLECTUAL
PROPERTY COUNSEL
3M INNOVATIVE PROPERTIES COMPANY



101444322A

OCT 20 2000

NFH/mc

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT
REFERRED TO

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 07/14/2000

REEL/FRAME: 011021/0945
NUMBER OF PAGES: 5

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
BRENNAN, JAMES F. III

DOC DATE: 07/12/2000

ASSIGNOR:
SLOAN, DIANN A.

DOC DATE: 07/11/2000

ASSIGNOR:
FAHEY, MAUREEN T.

DOC DATE: 07/12/2000

ASSIGNOR:
NOVACK, JAMES C.

DOC DATE: 07/12/2000

ASSIGNEE:
3M INNOVATIVE PROPERTIES COMPANY
P.O. BOX 33427
ST. PAUL, MINNESOTA 55133-3427

SERIAL NUMBER: 09616117
PATENT NUMBER:

FILING DATE: 07/14/2000
ISSUE DATE:

RECEIVED
OCT 25 2000
NESTOR F. HO

011021/0945 PAGE 2

SHAREILL COLES, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

DEC 26

08-28-2000

#4

DEC 26 2002

cket No. 54481USA2A.002

PATENT ASSIGNMENT REC

101444322

To: The Commissioner of Patents and Trademarks:

Please record the attached original document or copy thereof.

Submission Type:

- ☒ New
☐ Resubmission (Non-Recordation):
 Document ID# _____
☐ Correction of PTO Error: Reel # _____ Frame # _____
☐ Corrective Document: Reel # _____ Frame # _____

Conveyance Type:

- ☒ Assignment
☐ Other: _____

Name of conveying party(ies)

Execution Date
(Month/Day/Year)

James F. Brennan, III 07/12/00
 DiannA. Sloan 07/11/00
 Maureen T. Fahey 07/12/00
 James C. Novack 07/12/00

Name and address of receiving party(ies):

3M Innovative Properties Company
 P.O. Box 33427
 St. Paul, Minnesota 55133-3427

Additional names of conveying party(ies) attached?

- ☐ Yes ☐ No

09616117

Application number or patent number:

- ☒ This document is being filed with a new patent application on July 14, 2000
☐ This document is to be recorded against the following patent application or patent: _____

Patent Cooperation Treaty (PCT):

Enter PCT application number only if a U.S. Application Number has not been assigned: _____

Name and address of party to whom correspondence concerning document should be mailed:

Name: Néstor F. Ho, Phone Number: 512-984-7443
 Office of Intellectual Property Counsel
 3M Innovative Properties Company
 P.O. Box 33427
 St. Paul, Minnesota 55133-3427

Number of Properties:

Enter the total number of properties involved

1

Fee Amount:

Fee Amount for Properties Listed (37 CFR 3.41)

X \$40.00

Method of Payment:

☒ Charge to Deposit Account No. 13-3723
 Authorization to charge additional fees: ☒ Yes ☐ No

= \$40.00

DO NOT USE THIS SPACE

Statement and signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Néstor F. Ho

Printed Name of Attorney

Signature

July 14, 2000

Date

Pages:

Total number of pages of the attached conveyance document including any attachments

Mail documents to be recorded with required cover sheet information to:
 Commissioner of Patents & Trademarks, Box Assignments, Washington, D.C. 20231

08/28/2000 MTHA11 00000025 133723 09616117

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ASSIGNMENT

Whereas we, the below named inventors, with residences and citizenships as indicated below; have made an invention in

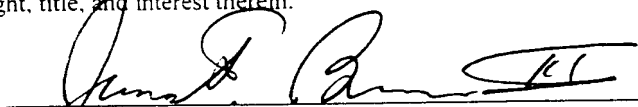
ACCELERATED METHOD FOR INCREASING THE PHOTSENSITIVITY OF A GLASSY MATERIAL

and have contemporaneously executed an application for Letters Patent of the United States of America based thereon:

Now, therefore, for good and valuable consideration, receipt of which is acknowledged, we have individually and jointly agreed to assign and transfer and do hereby assign and transfer unto the 3M INNOVATIVE PROPERTIES COMPANY, a wholly owned subsidiary of MINNESOTA MINING AND MANUFACTURING COMPANY, and a corporation of Delaware, having its principal office at Saint Paul, Minnesota, its successors and assigns, the entire right, title, and interest in and to the said invention and application, and in and to any division or continuation (in whole or in part) of said application, and in and to any and all improvements in the said invention made by us or any of us or made jointly with others (provided any such improvement is made during, or within one year after the termination of, the employment by Minnesota Mining and Manufacturing Company and any of its existing or future subsidiaries of whichever of us, solely or jointly with one or more others, has made the same), and in and to any and all Letters Patent, reexaminations, reissues, or extensions thereof, of the United States of America and countries foreign thereto (including the right to apply for Letters Patent, Utility Models, or Inventors' Certificates in foreign countries in its own name and to claim any priority rights for such foreign applications to which such applications are entitled under international conventions, treaties, or otherwise), which have been or may be granted thereon or on any divisional, continuation (in whole or in part), renewal, reexamination, reissue, or other or further application based in whole or in part upon the said invention or improvements thereon, to be held and enjoyed as fully and exclusively as they would have been by us or any of us had this assignment and transfer not been made.

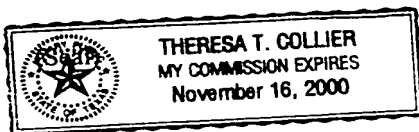
We do further agree for ourselves and for our heirs, executors, and administrators, to execute and deliver without further consideration any further applications, assignments, and documents, and to perform such other acts as we lawfully may, that may be deemed necessary by the said Company, its successors, assigns, and nominees, fully to secure its right, title, and interest as aforesaid and to obtain or maintain Letters Patent, Utility Models, or Inventors' Certificates in any and all countries;

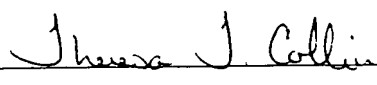
And we do hereby authorize and request the Commissioner of Patents to issue any and all Letters Patent which may be granted upon any of the said applications, to the said 3M Innovative Properties Company, as the assignee of the entire right, title, and interest therein.


James F. Brennan, III
Residence: Austin, Travis County, Texas
Citizenship: United States

STATE OF TEXAS)
) ss.
COUNTY OF TRAVIS)

On this 12th day of July, 2000, before me personally appeared the above-named James F. Brennan, III, personally known by me, and known by me to be the person described in and who executed the foregoing instrument, and who acknowledged that said person executed the same as said person's free act and deed, on the day and year aforesaid.




Notary Public

Diann A. Sloan

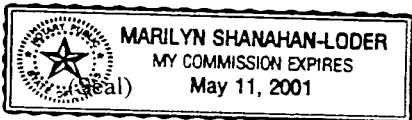
Diann A. Sloan

Residence: Austin, Williamson County, Texas

Citizenship: United States

STATE OF TEXAS)
) ss.
COUNTY OF TRAVIS)

On this 17th day of July, 2000, before me personally appeared the above-named Diann A. Sloan, personally known by me, and known by me to be the person described in and who executed the foregoing instrument, and who acknowledged that said person executed the same as said person's free act and deed, on the day and year aforesaid.



Marilyn Shanahan-Loder
Notary Public

Maureen T. Fahey

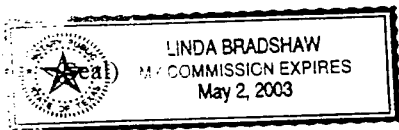
Maureen T. Fahey

Residence: Austin, Travis County, Texas

Citizenship: United States

STATE OF TEXAS)
) ss.
COUNTY OF TRAVIS)

On this 12th day of July, 2000, before me personally appeared the above-named Maureen T. Fahey, personally known by me, and known by me to be the person described in and who executed the foregoing instrument, and who acknowledged that said person executed the same as said person's free act and deed, on the day and year aforesaid.



Linda Bradshaw
Notary Public

James C. Novack

Residence: Hudson, St. Croix County, Wisconsin

Citizenship: United States

STATE OF MINNESOTA)
) ss.
COUNTY OF RAMSEY)

On this _____ day of _____, _____, before me personally appeared the above-named James C. Novack, personally known by me, and known by me to be the person described in and who executed the foregoing instrument, and who acknowledged that said person executed the same as said person's free act and deed, on the day and year aforesaid.

(Seal)

Notary Public

ASSIGNMENT

Whereas we, the below named inventors, with residences and citizenships as indicated below; have made an invention in

ACCELERATED METHOD FOR INCREASING THE PHOTSENSITIVITY OF A GLASSY MATERIAL

and have contemporaneously executed an application for Letters Patent of the United States of America based thereon;

Now, therefore, for good and valuable consideration, receipt of which is acknowledged, we have individually and jointly agreed to assign and transfer and do hereby assign and transfer unto the 3M INNOVATIVE PROPERTIES COMPANY, a wholly owned subsidiary of MINNESOTA MINING AND MANUFACTURING COMPANY, and a corporation of Delaware, having its principal office at Saint Paul, Minnesota, its successors and assigns, the entire right, title, and interest in and to the said invention and application, and in and to any division or continuation (in whole or in part) of said application, and in and to any and all improvements in the said invention made by us or any of us or made jointly with others (provided any such improvement is made during, or within one year after the termination of, the employment by Minnesota Mining and Manufacturing Company and any of its existing or future subsidiaries of whichever of us, solely or jointly with one or more others, has made the same), and in and to any and all Letters Patent, reexaminations, reissues, or extensions thereof, of the United States of America and countries foreign thereto (including the right to apply for Letters Patent, Utility Models, or Inventors' Certificates in foreign countries in its own name and to claim any priority rights for such foreign applications to which such applications are entitled under international conventions, treaties, or otherwise), which have been or may be granted thereon or on any divisional, continuation (in whole or in part), renewal, reexamination, reissue, or other or further application based in whole or in part upon the said invention or improvements thereon, to be held and enjoyed as fully and exclusively as they would have been by us or any of us had this assignment and transfer not been made.

We do further agree for ourselves and for our heirs, executors, and administrators, to execute and deliver without further consideration any further applications, assignments, and documents, and to perform such other acts as we lawfully may, that may be deemed necessary by the said Company, its successors, assigns, and nominees, fully to secure its right, title, and interest as aforesaid and to obtain or maintain Letters Patent, Utility Models, or Inventors' Certificates in any and all countries;

And we do hereby authorize and request the Commissioner of Patents to issue any and all Letters Patent which may be granted upon any of the said applications, to the said 3M Innovative Properties Company, as the assignee of the entire right, title, and interest therein.

James F. Brennan, III
Residence: Austin, Travis County, Texas
Citizenship: United States

STATE OF TEXAS)
) ss.
COUNTY OF TRAVIS)

On this _____ day of _____, _____, before me personally appeared the above-named James F. Brennan, III, personally known by me, and known by me to be the person described in and who executed the foregoing instrument, and who acknowledged that said person executed the same as said person's free act and deed, on the day and year aforesaid.

(Seal)

Notary Public

Diann A. Sloan
 Residence: Austin, Williamson County, Texas
 Citizenship: United States

STATE OF TEXAS)
) ss.
 COUNTY OF TRAVIS)

On this _____ day of _____, _____, before me personally appeared the above-named Diann A. Sloan, personally known by me, and known by me to be the person described in and who executed the foregoing instrument, and who acknowledged that said person executed the same as said person's free act and deed, on the day and year aforesaid.

(Seal)

Notary Public

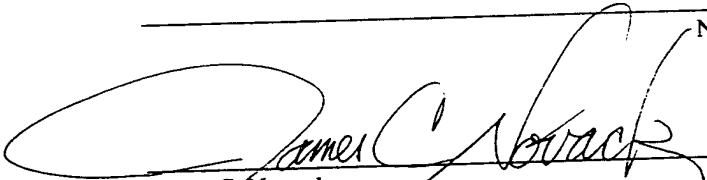
Maureen T. Fahey
 Residence: Austin, Travis County, Texas
 Citizenship: United States

STATE OF TEXAS)
) ss.
 COUNTY OF TRAVIS)

On this _____ day of _____, _____, before me personally appeared the above-named Maureen T. Fahey, personally known by me, and known by me to be the person described in and who executed the foregoing instrument, and who acknowledged that said person executed the same as said person's free act and deed, on the day and year aforesaid.

(Seal)

Notary Public


 James C. Novack
 Residence: Hudson, St. Croix County, Wisconsin
 Citizenship: United States

STATE OF MINNESOTA)
) ss.
 COUNTY OF RAMSEY)

On this 12th day of July, 2000, before me personally appeared the above-named James C. Novack, personally known by me, and known by me to be the person described in and who executed the foregoing instrument, and who acknowledged that said person executed the same as said person's free act and deed, on the day and year aforesaid.

(Seal)

Notary Public